

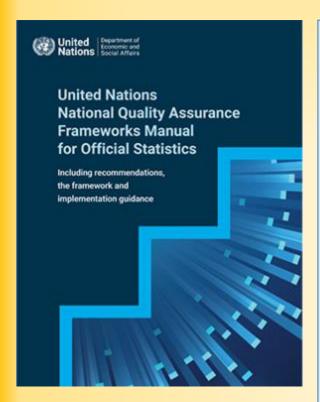
Workshop on the Implementation of a National Quality Assurance Framework for Official Statistics in countries of the Africa Region Addis Ababa, Ethiopia, 6-9 December 2022

## Session 1.1: Introduction to the UN NQAF Manual and its recommendations

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#### The Manual



The United Nations National Quality Assurance
Frameworks Manual for Official Statistics was adopted by
the Statistical Commission of the Economic and Social
Council of the United Nations in March 2019, and
welcomed as an important contribution for guiding
countries in the implementation of a national quality
assurance framework, including for new data sources,
new data providers, and data and statistics on the
Sustainable Development Goal indicators.

Building on and replacing the generic template and guidelines adopted in 2012, the Manual contains recommendations on quality assurance for official statistics, the United Nations National Quality Assurance Framework and practical guidance for developing and implementing a national quality assurance framework.

The Manual is intended for use by anyone interested in or working on quality assurance of official statistics.



## Expert Group on National Quality Assurance Frameworks

- ☐ The Manual was developed by the Expert Group on National Quality Assurance Frameworks (EG-NQAF) which has been reestablishment by the Statistical Commission at its 48th session in March 2017.
- ☐ 17 countries and 8 international and regional organizations joined the re-established Expert Group on National Assurance Quality Frameworks in 2017.
- □ The *Manual* builds on and replaces the generic United Nations National Quality Assurance Framework template and guidelines (UN NQAF template and guidelines) adopted in 2012.

### Objectives

- ☐ The *Manual* provides guidance for developing and implementing a national quality assurance framework (NQAF)
- ☐ Aims at assuring the quality of official statistics throughout the entire national statistical system (NSS)
- Provides guidance for the engagement with statistics producers and data providers that are outside of the NSS that cooperate with NSS members in the production of official statistics
  - The Manual is responding to the new data ecosystem with new data sources, data providers and statistics producers aiming at safeguarding the role of official statistics as trusted source of information in a changing environment.
  - For example, in the future, national statistical offices (NSOs) may see their role as producer of official statistics diminished while adopting a new role as curator of data and statistics produced by others.

### Objectives

#### Note:

The Manual does not aim to replace any of the existing statistical quality assurance frameworks and guidelines for official statistics.

Countries and individual producers of official statistics that are already fully engaged in quality assurance and are following one of the existing quality frameworks may view this Manual only as an additional reference point that supports what they are already doing, and a source of information on the application of quality assurance in different situations.



#### The Manual aims to support countries in...

Implementation throughout the NSS

Application to expanded data ecosystem

Implementation at the NSO

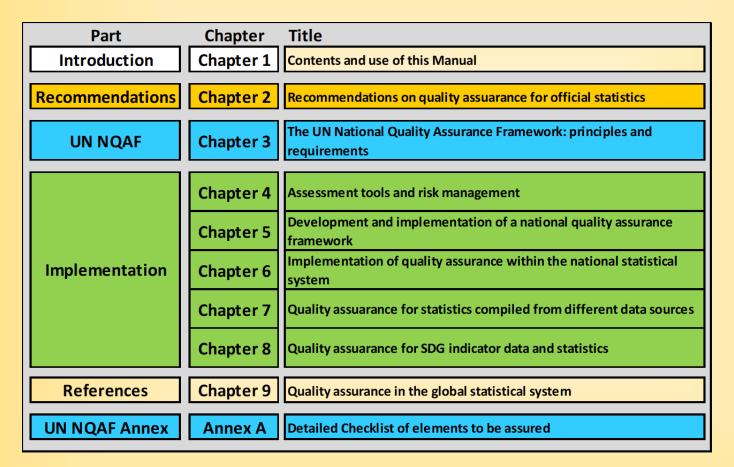
NQAF development ..through

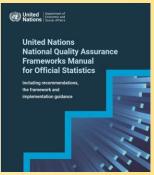
⇒ Recommendations

**⇒UN NQAF** 

⇒Implementation guidance

#### The Manual overview





See



#### Uses of the Manual

Task	Manual part	
Achieving an adequate mandate	Chapter 2	
Developing NQAF	Chapter 5	
Adopting or adapting framework for country needs	Chapter 3 and Annex A	
Finding proper tools and instruments for NQAF implementation	Chapter 4	
NQAF Implementation at the NSO	Chapter 5	
NQAF implementation throughout the NSS	Chapter 6	
Assuring quality for statistics from different data sources Chapter 7		
Addressing quality assurance for SDG indicators	Chapter 8	
Finding references on quality assurance in the global statistical system (including the need for international comparability, especially for SDG indicators)	Chapter 9	

Part	Chapter	Title
Introduction	Chapter 1	Contents and use of this Manual
Recommendations	Chapter 2	Recommendations on quality assuarance for official statistics
UN NQAF	Chapter 3	The UN National Quality Assurance Framework: principles and requirements
Implementation	Chapter 4	Assessment tools and risk management
	Chapter 5	Development and implementation of a national quality assurance framework
	Chapter 6	Implementation of quality assurance within the national statistical system
	Chapter 7	Quality assuarance for statistics compiled from different data sources
	Chapter 8	Quality assuarance for SDG indicator data and statistics
References	Chapter 9	Quality assurance in the global statistical system
UN NQAF Annex	Annex A	Detailed Checklist of elements to be assured

All chapters of the Manual can be read independently, hereby offering different entry points ...questions?

Please note that Chapter 1 contains useful working definitions of important terms such as "data providers and statistics producers", "national statistical system" etc.

# Some important terms to be noted...

- Data and statistics
- Data providers and statistics producers
- National statistical system responsible for official statistics
  - NSS = National statistical office (NSO) + Other
     producers of official statistics ( = statistical agencies)
  - Other statistics producers are not part of NSS
- Data ecosystem, Official statistics, Open data etc.



### Chapter 2. United Nations Recommendations on quality assurance for official statistics

#### Scope

- The recommendations apply to the National Statistical System (NSS) comprised of the NSO and <u>other producers of official</u> <u>statistics</u> (other statistical agencies).
- □ However, under specific circumstances, as established by countries, the recommendations are proposed to be used by other statistics producers and providers of data that do not produce official statistics and that are not part (or frequently not considered part) of the NSS



### Chapter 2. United Nations Recommendations on quality assurance for official statistics

#### **Overarching Core Recommendations**

- #1 Integrate the Fundamental Principles of Offical Statistics in the legal and institutional frameworks
- #2 Include the requirement for quality assurance in the statistical legislation
- #3 Establish a national quality assurance framework (NQAF); all members of the national statistical system (NSS) commit to quality assurance
- #4 Base or align your NQAF with international or regional quality frameworks
- #5 Implement NQAF at the NSO, throughout the NSS and to data and statistics produced outside the NSS as appropriate



## Chapter 2. United Nations Recommendations on quality assurance for official statistics

### Recommendations that aim at the implementation of specific Fundamental Principles of Official Statistics

- ❖ The recommendations #6 to #14 provide an interpretation of the Fundamental Principles of Official Statistics (FPOS) to facilitate their implementation and to stress their importance in the context of statistical quality assurance.
- They are directly derived from one or two FPOS or a repetition of one of its principles.

### Chapter 3 and Annex: United Nations National Quality Assurance Framework (UN NQAF

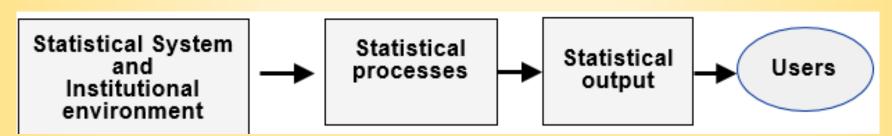
UN NQAF arranges its quality principles and associated requirements into <u>four levels</u>, ranging from the over-arching institutional and cross-institutional level through the statistical production processes to the outputs:

Level A: Managing the statistical system

Level B: Managing the institutional environment

**Level C: Managing statistical processes** 

**Level D: Managing statistical outputs** 





# Chapter 4. Assessment tools and risk management

- Methods and tools for statistical quality assessment comprise
  - quality indicators (both for products and processes)
  - quality reports
  - user surveys
  - □ self and external assessments
  - ☐ auditing (internal or external quality reviews), including peer reviews
  - □ labelling and certification.
- Metadata management
- Risk management

# Chapters 5 & 6: Development and implementation of a national quality assurance framework

### Chapter 5 Development and Implementation of NQAF at individual NSS members

Phase 1: Establishing the 'basics' (5.A)

(A reminder, or short introduction for newcomers)

Phase 2: Development and
Adoption (5.B)

(For readers that do not have an NQAF already)

Phase 3: Implementation at the NSO and other producers of official statistics (5.C) (Relevant to all readers)

Chapter 6 Implementation of quality assurance within the NSS (For readers that are concerned with implementing NQAF throughout the NSS)

Coordination of the NSS (6.A)

Role of the NSS-wide bodies in NQAF implementation (6.B)

Implementation of systemwide measures – introducing all NSS members to quality assurance (6.C)



# Chapter 7. Quality assurance for statistics compiled from different data sources

- This chapter highlight certain aspects of quality assurance that are specific, or are of special importance, to statistics that are produced (completely or partially) using specific data sources.
- This Manual distinguishes three data sources <u>according to</u> their purpose and by the entity responsible for their <u>compilation</u>.
  - Statistical data sources
  - Administrative data sources
  - Other data sources (list included)
- Discusses big data, new data sources and use of multiple sources



## Chapter 8: Quality assurance of SDG indicators

- Challenges of assuring quality of data and statistics for the SDG indicators
- \* Roles of the different entities participating in this task
- Requirements and elemente to be assured that are of special importance, differentiated by the four levels:
  - Managing the NSS
  - Managing the statistical environment
  - Managing the statistical processes
  - Managing statistical outputs



## Chapter 9: Quality assurance in the global statistical system

- The <u>target audience</u> of this chapter are statisticians in countries and regional and international organizations that are interested in the relationship between national and global statistics.
- Provides references regarding the commitments and obligations of countries and international and regional organizations to jointly assure the quality of data and statistics published at the global level.
- It is recommended to address disputes based on applicable professional standards, i.e. commitments and obligations.



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