GCC-Stat & UNSD

Workshop On the

Principles and Recommendations for a Vital Statistics System, Revision 3, For Arabic Speaking Countries
14-17 November 2016, Muscat Oman

Assessing Completeness and Quality of death registration in the Death Notification System in Oman

Using Direct methods (Capture Recapture) and Medical Records Review

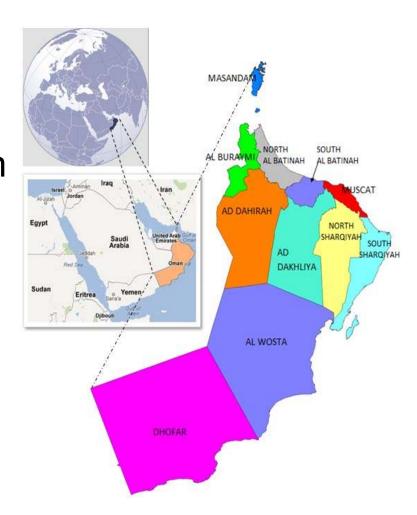
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Outline

- Introduction
- Methods and processes
- Results & Key Findings
- Strengths
- Limitations of the study

Introduction: Overview of Oman

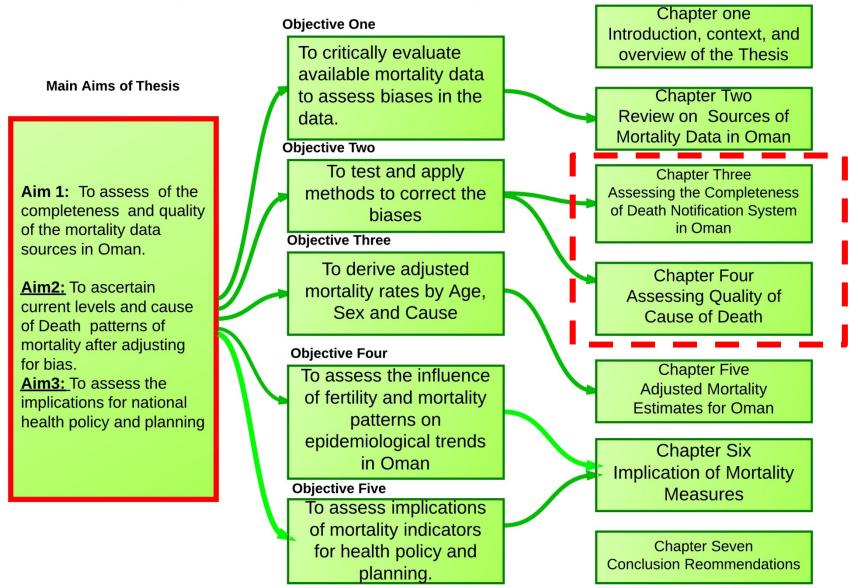
- Population is about 4.5
 millions; of whom 55%% are
 Omanis (45% Expatriates)
- Rapid Economic Growth from 1970 along with population growth
- Variation of Population density (from <1 to 350) per sq km



Introduction: Death registration in Oman

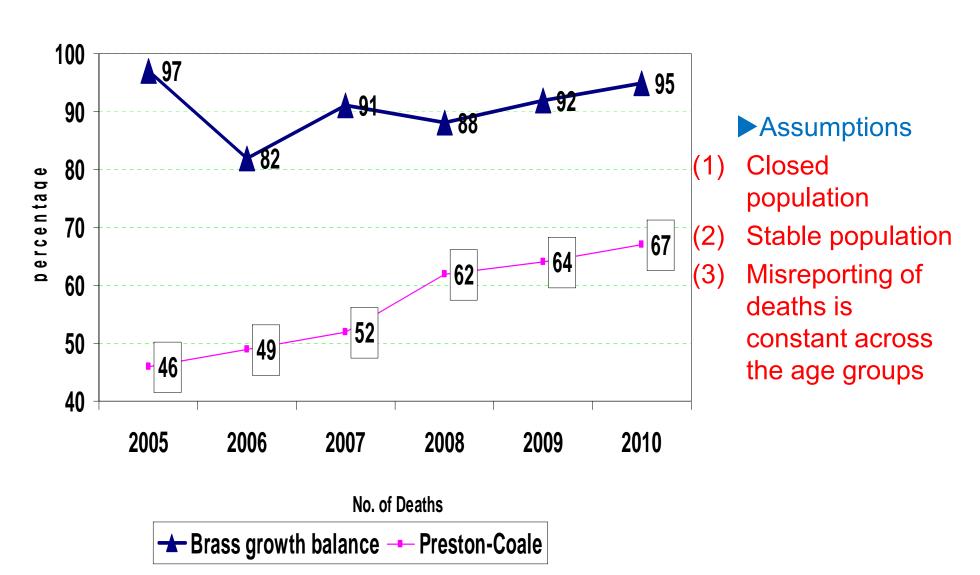
- First Census was carried in 1993 followed by two censuses 2003 and 2010
- Mortality module were included in the censuses
- Death notification system introduced in 2004 as part of civil registration system.
- Some secondary sources of death data including medical records at health facilities, and demographic health surveys.
- These are valuable resources for verifying the validity of the mortality data collected by the death notification system.

PhD on: Mortality Patterns in Oman (Demographic and Epidemiological Review)



(A) Assessing Death Registration Completeness

Indirect Techniques Death Completeness of Death Reporting



wny using capture-recapture for assessing the Completeness of Death Registration • It has a simple and straightforward logical basis

- The possibility of estimating registration completeness among infants and young children (which is not possible from the indirect methods) is one of the strongest advantages of the method.
- Linkage of two sources of data include the potential to correct specific variables, as well as the potential to complement, merge or integrate other variables of interest into the analysis.
- Capture-recapture can be used to identify deceased's characteristics related to probability of capture by either sources. Such information could be useful for improvement of an ongoing register by identifying subgroups (geographic, age or sex) with a high probability of being missed by the register.

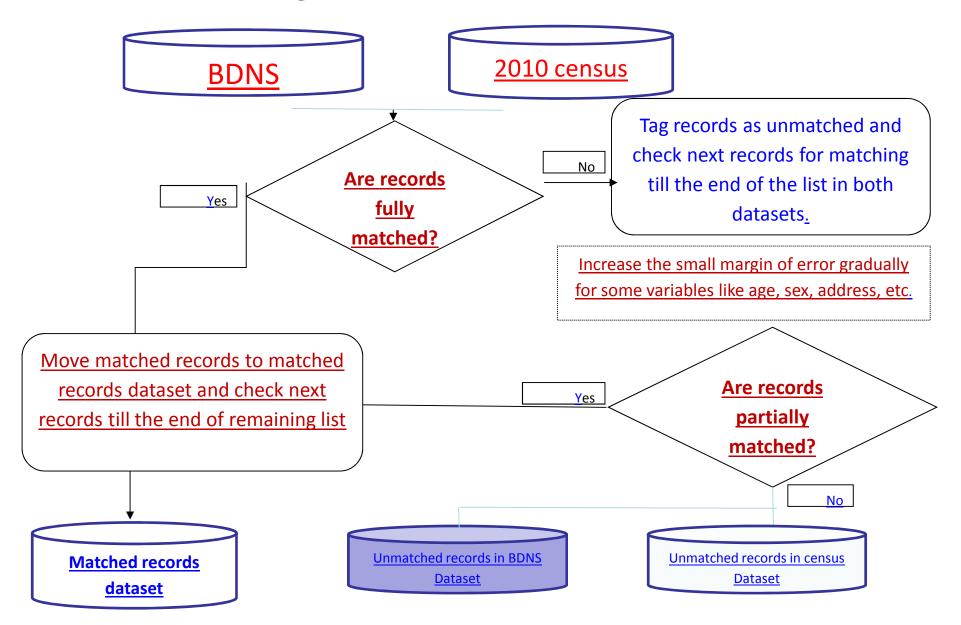
Assumptions of the method

- Independent Sources
- Same time period
- Closed populations
- homogeneity of capture probability

Birth and Death Notification system (BDNS)

Mortality module of 2010 Census.

		Census 2010								
		Yes	No	Total						
Birth and	Yes	(M)	(C)	(M+C)						
death notification	No	(D)	(X)							
system	Total	(M+D)		(N)						



Define the matching variables

Variable	BDNS database	Census 2010 database
Notification number	$\sqrt{}$	
Reported institution	$\sqrt{}$	
Name of deceased	$\sqrt{}$	
Name/tribe name of applicant*		$\sqrt{}$
Governorate/region	$\sqrt{}$	$\sqrt{}$
Wilayat (district)	$\sqrt{}$	
Town/village	\checkmark	$\sqrt{}$
Locality or compound		$\sqrt{}$
Sex	\checkmark	$\sqrt{}$
Date of death	\checkmark	$\sqrt{}$
Age at death	$\sqrt{}$	$\sqrt{}$
Date of birth		

Initial data quality assessment

Items	Birth and death notification system database	Census
Total records	6,039	5,400
Missing date of death	0	0^
Duplicates	3	19
Missing age	652	0
Missing sex	18	0
Missing governorate	457	0
Missing Wilayat	535	0
Missing nationality	18	0
Missing Wilayat and governorate	457	0
Records used in matching	6,036	5,381

Matching process: Phase One (Exact Matching)

	Number of
	records
Matched records in the first round	568 (9.5%)
Not matched from birth and death	5468
notification system database	
Missing age	500
Missing governorate	435
Missing wilayat	502
Missing village/locality	1022

- Missing Values
- Frequent spelling mistakes
- Small differences in the age at death, or in the date of death
- Small difference in the sex of some neonates deaths.

Matching process: Phase Two 49.5%

Based on the observations from phase one, it was decided to first apply the following generic criteria to the existing dataset, and repeat matching exercise.

- 1. A band of 5 years was applied to the age at death for Adults (i.e. differences in age of up to 5 years for a record which is matched on all other variables was considered a match).
- 2. A variation of one month in the date of death for records matched on all other variables was considered a match.
- 3. Spellings of village names in both the birth and death notification system database and the census datasets were corrected to ensure uniformity.
- 4. Cases of neonatal deaths that were matched on all other variables besides gender were considered a match.

Matching process: Phase Three

Based on the observations from phases one and two:

- 1. **521 records** (with missing key variables) were returned to the notifying health institutions in order to obtain updated information on the missing variables.
- Tribe name was used to infer the wilayat/village of residence (tribe names can be mapped on to known wilayat/villages).
- Health Institution was used to infer the missing address variable for the deceased.

Matching process: Phase Three

Based on the observations from phases one and two:

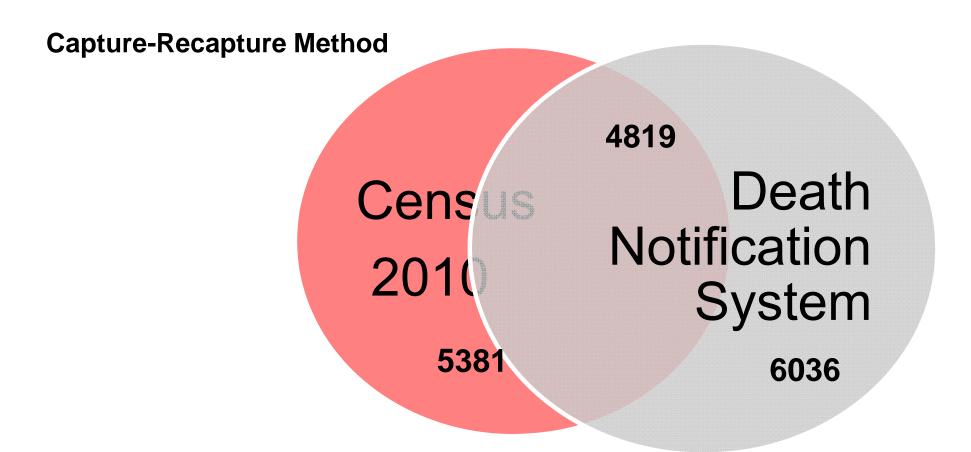
When the age of the deceased was missing, one of several strategies was employed.

- 1. Occupation of the deceased was 'student', the age was inferred to be between 5 and 19 years.
- Name was recorded as 'son of ______', 'daughter of ______', or even 'baby of ______', this was taken as an indication that the deceased was an infant, given the Omani custom of naming only a few weeks following birth.
- 3. Cause of death was used to fix the age (e.g. if the cause was 'birth asphyxia' or 'congenital anomaly', the age was presumed to be that of an infant. If the cause was 'senility' or 'dementia', the deceased was presumed to be from an older age group).

Matching process: Phase Three

	Number of
	records
Matched records in first, second and third	4,819 (79%)
Not matched	1,217
Reasons for un-matched records*	
Missing age	192
Missing governorate	163 + 5 (residing
	abroad)
Missing wilayat/village	179
Under-recorded events in census	650

Results



Census 2010	Matched Records	Death Notification system
5381	4819	6036

Results

Sex / Age Group	Total records Census	Total records in B&D	Matched Records	Found in BD but not found in census	Found in census but not found in BD	Estimated missing in both	Estimated deaths
Males							
0 - 4	475	369	322	47	153	22	544
5-14	137	83	79	4	58	3	144
15-24	278	252	248	4	30	0	282
25-44	364	388	334	54	30	5	423
45-64	686	794	675	119	11	2	807
65-84	963	1385	948	437	15	7	1407
85+	335	331	306	25	29	2	362
Grand Total	3238	3628	2912	716	326	80	4034
Females							
0 - 4	341	296	248	48	93	18	407
5-14	94	60	60	0	34	0	94
15-24	92	83	79	4	13	1	97
25-44	162	155	148	7	14	1	170
45-64	399	442	379	63	20	3	465
65-84	728	982	699	283	29	12	1023
85+	327	314	294	20	33	2	349
Grand Total	2143	2408	1907	501	236	62	2706

Death Registration completeness Rates Broad Age Groups

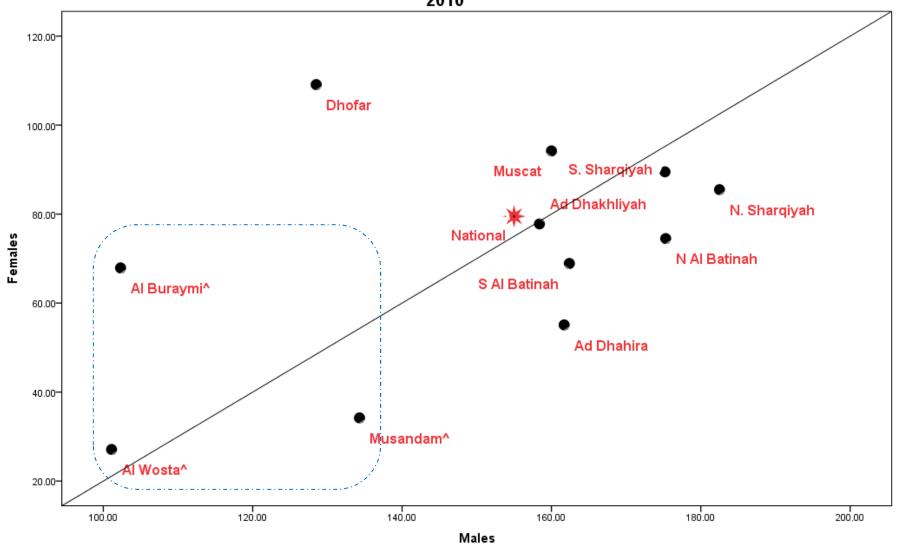
Age Group	Males (%)	Females (%)
0 - 4	68	73
5-14	58	71
15-24	89	85
25-44	92	91
45-64	98	95
65-84	98	96
85+	91	90
Grand Total	90	89

Life Expectancy at birth and adult mortality By Sex based on Raw Death notification and Adjusted deaths

	Raw [Data	Death		udy lings	Burd dise study	en of ease 2010 ME)	Wold I Organi (Wh	ization	World	Bank
	M	F	M	F	M	F	M	F	M	F
a) Life expectancy at birth	75.2	81.9	73.4	79.7	73.8	78.9	70	76	74	78
c) The adult mortality rate, 15 and 60 years (per 1,000)	150	77 (157	82	139	86	157	78	122	76
c) Under five mortality rate	13.4	11.6	21.3	17.3	11	1	1	2	11.9	10.7
b) Life expectancy at age 60	22	26	21	24	N	Α	15	19	NA	NA

Adult Mortality variation among governorates

Male and Female Adult Mortality composition (per 1000 population 15-60 years) by Governorate - Oman, 2010



(B) Assessing Data on Quality (Cause of Death)

Number of medical records reviewed and Death Notifications for leading causes of Deaths in Oman, 2010

MTL	Death notification system	94	NC	52	96	68	67	12	92	66	69	93	77	74	86	61	Other causes	Т
94	III-defined conditions	308	5	69	100	79	168	11	6	18	107	14	11	14	19	32	226	1187
	No Causes Specified	71	3	23	77	29	68	3	33	7	47	25	8	11	19	16	127	567
52	Diabetes mellitus	13		99	12	14	44	8		7	55		5	8	9	4	93	371
96	Transport accidents			10	261	7	11	1		1	12		1	2	3	3	21	333
	Other heart diseases	43		15	11	51	66		3	3	33	9	7	5	8	3	68	325
67	Ischaemic heart diseases	8		12	7	18	93	3		1	26		7	6	6	1	38	226
12	Septicaemia	4		13	6	8	15	9	3	2	33	2	8	26	15	3	62	209
92	Perinatal conditions	3	1	3	3	3	8	2	105		10	28	2	1	1	3	18	191
	Hypertensive diseases	7	1	8	2	14	34	3		7	44			6	13	3	36	178
	Cerebrovascular diseases	8		7	6	7	15			1	77		3	10	3	3	29	169
	Congenital malformations	6		1	3	7	3	4	16	1	4	82	1	1	1	1	17	148
77	Other respiratory system diseases	3		2	4	16	9	3	4	2	15		18	13	3	2	30	124
	Pneumonia	1		5	3	4	6	3	2	5	16	1	12	34		3	25	120
86	Other genitourinary diseases	3	1	8	2	5	10	2		2	8		5	12	17	1	28	104
61	Other nervous system diseases	3		1	1		5	1	1		9	1	1	1	2	8	25	59
	Other causes	30	0	49	51	42	90	9	3	6	70	7	19	22	15	6	579	998
Grand	Total	511	11	325	549	304	645	62	176	63	566	169	108	172	134	92	1422	5309
Agree	ment rate (%)	60%	27%	30%	48%	17%	14%	15%	60%	11%	14%	49%	17%	20%	13%	7%		

Validation characteristics for Deaths in Oman, 2010

					Medical Re	cords review				
		Cause	As in DNS*	Matched	Assigne d to other Causes	Assigned from Other causes	Final	Sensitivity (95%CI)	Positive Predictive value (95% CI)	Chang e in CSMF (%)
1	94	Symptoms and ill-defined conditions	1187	308	879	203	511	60.3 (57, 63)	26.0 (23, 28)	-57.0%
2	52	Diabetes mellitus	370	99	271	226	325	30.5 (26, 35)	26.8 (22, 31)	-12.2%
3	96	Transport accidents	333	261	72	288	549	47.5 (42, 53)	78.4 (74, 83)	64.9%
4	68	Other heart diseases	332	51	281	253	304	16.8 (13, 21)	15.4 (11, 19)	-8.4%
5	67	Ischaemic heart diseases	219	93	126	552	645	14.4 (10, 19)	42.5 (36, 49)	194.5%
6		Septicaemia	209	12	197	47		20.3 (15, 26)	5.7 (3, 9)	-71.8%
6	92	Perinatal conditions	191	105	86	71	176	59.7 (53, 67)	55.0 (48, 62)	-7.9%
7	66	Hypertensive diseases	178	7	171	56	63	11.1 (6, 16)	3.9 (1, 7)	64.6%
8	69	Cerebrovascular diseases	169	77	92	489	566	13.6 (8, 19)	45.6 (38, 53)	234.9%
9	93	Congenital malformations	148	82	66	88	170	48.2 (40, 56)	55.4 (47, 63)	-14.9%
10	77	Other diseases of the respiratory system	124) 18	106	90	108	16.7 (10, 23)	15.4 (8, 21)	-12.9%
	All other	cases	1282				1822			
	No recor	ded causes	567				11			
	Grand To	otal	5309				5309			

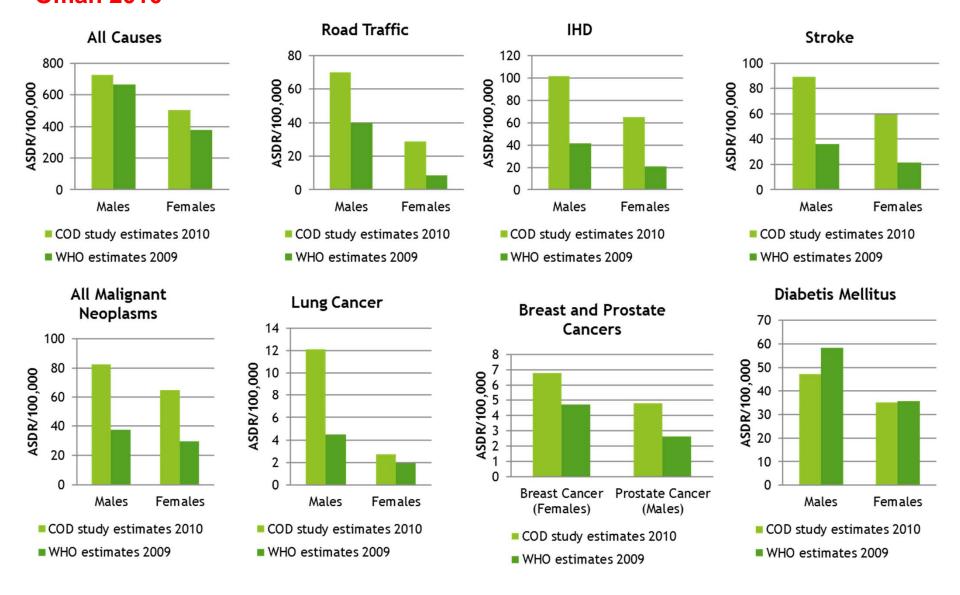
Adjusted cause-specific mortality fractions compared with Death Notification data, Oman, 2010

Raw Death Data by cause	%	R	R Adjusted Death Data by cause	%
Symptoms and ill-defined conditions (R00-R99)	35.1	1	1 Ischaemic heart diseases (I20-I25)	11.6
Transport accidents (V01-V99)	6.1	2-	2 Transport accidents (V01-V99)	11.4
Other heart diseases (I26-I51)	5.4	3	3 Cerebrovascular diseases (I60-I69)	10.3
Ischaemic heart diseases (I20-I25)	5.2	4	4 Symptoms and ill-defined conditions (R00-R99)	9.7
Hypertensive diseases (I10-I14)	4.2	5	5 Diabetes mellitus (E10-E14)	5.6
Cerebrovascular diseases (160-169)	4.0	6_	6 Other heart diseases (I26-I51)	5.6
Septicaemia (A40-A41)	3.9	7	7 Pneumonia (J12-J18)	3.3
Diabetes mellitus (E10-E14)	3.8	8	8 Congenital malformations (Q00-Q99)	3.2
Perinatal conditions (P00-P96)	3.6	9	9 Perinatal conditions (P00-P96)	2.8
Other diseases of the respiratory system (J00-J06, J30-J39, J60-J98)	3.0	10	10 Other genitourinary diseases (N17-N98)	2.6
Congenital malformations (Q00-Q99)	2.6	11	11 Other malignant neoplasms^	2.5
Pneumonia (J12 – J18)	2.5	12	Other diseases of the respiratory system (J00-J06, J30-J39, J60-J98)	2.2
Other genitourinary diseases (N17-N98)	2.2	13	Other diseases of the nervous system (G04-G25, G31-G98)	2.1
Diseases of the liver (K70-K76)	1.4	14	14 All other external causes ^^	1.7
All other external causes^^	1.3	15	15 Chronic lower respiratory diseases (J40- J47)	1.6
			16	
		17	18	
		19		
		20	V 20	
Total Deaths	6036		Total Deaths	6740

Leading causes of YLL by sex, Oman 2010

	Males		Females	
Rank	Cause of Death (Rank as per L COD)	% of total	Cause of Death (Rank as per L COD)	% of total
1	Transport accidents (1)	21.9	Transport accidents (4)	12.4
2	Congenital malformations (8)	7.7	Congenital malformations (7)	11.9
3	Perinatal conditions (9)	7.4	Perinatal conditions (9)	8.8
4	Ischaemic heart diseases (2)	6.8	Ischaemic heart diseases (1)	7.1
5	Cerebrovascular diseases (3)	5.6	Cerebrovascular diseases (3)	5.9
6	Other heart diseases (5)	3.8	Other diseases of the nervous system (13)	4.2
7	All other external causes (13)	3.2	Diabetes mellitus (5)	3.6
8	Other diseases of the nervous system (12)	3.0	Other heart diseases (6)	3.5
ç	Accidental drowning (16)	3.0	Pneumonia (8)	2.6
10	Diabetes mellitus (6)	2.8	Other malignant neoplasms (10)	2.6
11	Pneumonia (7)	2.1	Accidental drowning (24)	2.4
	(All Other causes)	23.5	(All Other causes)	25.7
Total YL	L	126351		74945

Age Standardized cause-specific death rates per 100,000 populations based on COD Study / WHO estimates 2009 Oman 2010



Key Conclusion

- Greater certainty around cause of death, reduction of misinformation through 'garbage' codes
- Direct methods have greater plausibility for the context of Oman
- Underreporting of death in Oman now estimated around 10%
- Life expectancy estimates more confident, useful for economic and epidemiological analysis
- The main age group for premature mortality of Oman population is in Adulthood (15-60 years).
- The thesis output will have an impact on directing the health policies to adapt strategies and plan to reduce preventable deaths such as Road accidents, Adult mortality

Strengths

- The Study Covered all Omani Population
- It provided completeness rates of death registration across different age groups, Geographic distribution.
- Easy to be repeated in future and it can suggest the required changes and interventions needed into mortality module of coming census 2020.
- It has highlighted on the defects of BDNS and suggest the changes required to overcome such incompleteness of reporting or overcome missing fields of the notifications (enforcement, validation etc.)

Limitations

- Missing data/errors in some of the key matching variables, such as date of birth and address in the birth and death notification system.
- Slight differences in some variables, particularly in the census, (e.g. date of death, date of birth). These differences are probably due to recall bias where the relative is required to recall details of the deceased.
- The name of the deceased is missing in the census data set (this has been resolved as described previously in the matching process) although it would be increase the reliability if it included in future.
- It covers Omani nationality since the Mortality module in the censes was only for Omanis.

Limitations

- Losing of about 10% of reviewed forms of deaths was a challenge.
- Lack of detailed information in medical records for some records.
- Study time frame.

Recommended operations research activities to improve mortality data quality

- Hospital mortality review committees
- Brought-dead and home deaths:
- Injuries (Road traffic accidents in particular): The quality of causes of death recorded for RTA needs to be enhanced to ensure two critical data needs;
 - Complete information for detailed coding of external causes.
 - Detailed information on body parts injured for coding of internal causes

Research to strengthen epidemiology and health policy

- 1- Multiple cause of death analysis
- 2- Data sharing with disease-specific registries
- 3- Health policy and systems research

In conclusion, this thesis demonstrates the feasibility in utilising vital registration data for estimating mortality in Oman.

The research methods for data collection and analysis have established a benchmark for mortality measurement and estimation, and

could be applied periodically over the coming years.

The findings from these mortality estimation activities should be followed up with dissemination activities

to inform policy analysts and public health professionals, to ensure their utilisation.

Finally, similar research to evaluate data quality and guide data utilisation should be conducted on a periodic basis in other Gulf Cooperation Council states (and potentially other countries in the Middle East region) which share similar health system characteristics, and to enable comparative analysis to address potentially common health problems.

The documentation of this research provides a basis for capacity building for such activities, and will help the improvement and utilisation of data on levels of mortality and causes of death across the region in the near future.

Thank you