

## Global consultation on current data sources available for actual and experimental calculations for the various options to measure emission permits as outlined in the Guidance Note WS.7 Emission Trading Schemes

### Instructions

The treatment of emission permits cannot be finalized until a workshop has had the opportunity to consider the practical implications associated with the recording of emission permits in macroeconomic statistics. As such, it is important to understand the current availability of data that can or have been collected for Emission Trading schemes. This will permit a better understanding of the measurement challenges.

For each question, please provide the answer by clicking the relevant check box or by typing in the framed textboxes, which will automatically expand to fit the text. Please note that the survey may reach one or more contacts in your agency; however, only one response is expected. A printable version of the questionnaire and the guidance note are available at this [link](#).

If you have any questions, please contact [sna@un.org](mailto:sna@un.org) or [STABPM6Update@imf.org](mailto:STABPM6Update@imf.org).

We look forward to and highly appreciate your collaboration.

Sincerely,  
SNA and BPM Update teams

### Info. Please provide your information below

First name\* \_\_\_\_\_  
Last name\* \_\_\_\_\_  
Country \_\_\_\_\_  
Institution/Department\* \_\_\_\_\_  
Position \_\_\_\_\_  
Email\* \_\_\_\_\_

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## Current practice

**0.** Does your country issue emission permits?

- Yes
- No
- Unsure

This Question is Conditionally Shown if: (0 = Yes)

**1.** Do you currently have estimates for emission permits in the national accounts or in the balance of payments?

- National accounts
- Balance of payments
- Both
- No available estimates

This Question is Conditionally Shown if: (0 = Yes AND 1 = No available estimates)

**2A.** If no, can you briefly explain why?

- Lack of data sources
- Not relevant for your economy
- Other, please specify \_\_\_\_\_

This Question is Conditionally Shown if: (0 = Yes AND 1 = No available estimates)

**2B.** Please elaborate

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## Current data availability

### **Describe the data that are available from government data sources.**

#### **3. Government Revenue at issuance (please select all that apply)**

- From Auctions
- From Fixed Price Offers
- Other, please specify \_\_\_\_\_
- Not available

#### **4. Number of emission permits issued at specific dates (please select all that apply)**

- Total Number of emission permits issued
- Purchased by Domestic Non-Financial Corporations
- Purchased by Domestic Financial Corporations
- Purchased by Domestic Non-Profit Institutions
- Purchased by Households (Individuals)
- Purchased by Non-Residents
- Other, please specify \_\_\_\_\_
- Not available

#### **5A. Do you also know the issuance price of each permit?**

- Yes
- No
- Unsure

#### **5B. Please provide any comments in support of your responses.**

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#### **6. Number of Emission Permits Offered Freely**

- Obtained by Domestic Non-Financial Corporations
- Other, please specify \_\_\_\_\_
- Not available

#### **7. Number of emission permits surrendered at specific dates (please select all that apply)**

- Total number of emission permits surrendered
- By Domestic Non-Financial Corporations
- By Domestic Financial Corporations
- By Domestic Non-Profit Institutions
- By Households (Individuals)
- By Non-Residents
- Other, please specify \_\_\_\_\_
- Not available

**8.** Do you also know the issuance value of the surrendered permits?

- Yes
- No

**9.** Do you also know the market value of the permits at surrender?

- Yes
- No

**10.** Please provide any comments in support of your responses.

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**11.** Does any of the emission permits have an expiry date?

- Yes
- No

This Question is Conditionally Hidden if: (11 = No)

**12.** If yes, do you know the market value upon the expiry date?

- Yes
- No

**13.** Please provide any comments in support of your responses.

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**14.** If your economy participates in multi-international or joint emission trading schemes. Are you able to distinguish between place of issue and place of surrender?

- Yes
- No

**15.** Please describe any other data available from government data sources

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## Current data availability (cont'd)

### Describe the information available from Financial Statements

**16.** What information is available from corporate financial statements?

- Information on purchases and sales of permits
- Holding of permits (at issuance and/or market value)
- Information on the surrender of permits (at issuance and/or market value)
- Other, please specify \_\_\_\_\_
- Not available

**17.** Please describe the corporate accounting of emission permits for non-financial corporations in your economy.

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**18.** Please describe the corporate accounting of emission permits for financial corporations in your economy.

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### Other data Sources

**19.** Does your Business Survey, Balance of Payments Survey, or other survey request information regarding emission permits?

- Yes
- No

This Question is Conditionally Hidden if: (19 = No)

**20.** If yes, what type of information is available?

- Information on purchases and sales of permits,
- Holding of permits (at issuance and/or market value),
- Information on the surrender of permits (at issuance and/or market value, etc.)
- Other, please specify \_\_\_\_\_

**21A.** Do you have an Emission Permit Repository?

- Yes
- No

This Question is Conditionally Hidden if: (21A = No)

**21B.** If yes, describe the type of information contained.

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**22A.** Do you have any plans to obtain additional data for emission permits?

- Yes
- No

This Question is Conditionally Hidden if: (22A = No)

**22B.** If yes, briefly describe potential data sources and their information you are considering.

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## Methodology

**23A.** What techniques and assumptions are used for recording emission permits in your accounts?

- Timing
- Alignment
- Valuation adjustments
- Other, please specify \_\_\_\_\_

**23B.** Please elaborate

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**24.** Would you be able to provide experimental estimates (calculations) for the options outlined in the Guidance Note? If only for a few of them, please explain which ones and why.

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**25.** Do you have any other comments?

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**26.** To maximize **transparency**, we publish individual responses to global consultations. Do you **give consent** that your individual response to this questionnaire can be published?

- Yes
- No

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